

**NATIONAL STOCK EXCHANGE OF INDIA LIMITED**

<b>DEPARTMENT : INSPECTION</b>	
Download Ref.No.: NSE/INSP/27339	Date : August 12, 2014
Circular Ref.No.: 193/2014	

To All Members

**Sub : E-mail and SMS alerts to Investors**

This has reference to the SEBI circular CIR/MIRSD/15/2011 dated August 02, 2011 and Exchange Circulars NSE/INVG/18503 dated Aug 03, 2011, NSE/INVG/21841 dated October 4, 2012 & NSE/UCIBD/25879 dated February 14, 2014 regarding E mail and SMS alerts to investors and the weekly download of missing mobile and e-mail report to the Members on UCI Online.

As you may be aware, Exchange, with the objective of reducing the number of investor complaints relating to unauthorized trading and to safeguard Members' own interest, provides details of the transactions to all investors through mails and SMSs whose email address and mobile numbers are updated by the trading members in UCI Online.

SEBI has advised Stock Exchanges to obtain the information from Members for their respective clients whose mobile number/email-id has still not been updated.

Members are hereby urged to obtain the mobile number and e mail ID for all the clients who have transacted during the FY 2014-15 and upload the same **latest by August 19, 2014**. For your convenience the list of clients traded after April 01, 2014 is made available through the UCI Online on the below specified path whose details are to be updated by the said date:

*MEMBER PORTAL/ UCI ONLINE / DOWNLOAD/VIEW SMS EMAIL REPORTS/ MISSING MOBILE EMAIL REPORT/ TMCODE\_12082014\_TRD\_AFTER APR 2014\_MOBILE\_EMAIL\_MISSING.Txt*

As regards other clients who may wish to trade, Members are urged to first obtain their mobile number and e mail ID and upload the same on the UCI online.

Members are requested to take note of the aforementioned requirements and upload the details of clients, failing which the matter shall be viewed seriously.

In case of any clarifications, Members may contact our below offices:

<b>CENTRE</b>	<b>CONTACT NO.</b>	<b>E MAIL ID</b>
<b>Ahmedabad</b>	079-49008612	inspectionahm@nse.co.in
<b>Chennai</b>	044-28479915 / 17	Inspection_CRO@nse.co.in
<b>Delhi</b>	011-23459143/146	Delhi_Inspection@nse.co.in
<b>Kolkata</b>	033-40400411 / 406	Inspection_Kolkata@nse.co.in
<b>Mumbai</b>	022-25045224 / 227	compliance_wro@nse.co.in

**For and on behalf of  
National Stock Exchange of India Limited**

Rachana Bhusari  
Chief Manager

<b>Telephone No</b>	<b>Fax No</b>	<b>Email id</b>
1800 2200 51	+91-22-26598194	compliance_assistance@nse.co.in